## Welcome to: Can we capture complex racial identities with IPEDS?

Please find a seat near someone you don't already know!

November 14, 2022



### Our goals for this session

Build a stronger understanding of:

- The constraints of data collection practices around reporting on race/ethnicity
- Ways to leverage extant data that better capture student identities

Leave this session with ideas for reporting on race/ethnicity on your own campus.



## **Guiding principles for our conversation**

We are coming from a place of wanting to learn "Rough draft ideas" -- these are complex topics We are co-creating knowledge, not prescribing anything

You know your institution best





### Race is a social construct

Racial identification relies on situational context(s)

- How an individual thinks of themselves
- An individual's understanding of how others would categorize them
- An individual's perception of the costs/benefits of affiliation with a particular racial group

Question wording and options influence responses

See: Ford et al., 2022; Jones & Jones, 2010; Renn, 2009; Roberts, 2011; Rockquemore et al., 2009





# This is a study in working with limited data.





Source: Quantum Workplace



# We're required to use specific language to collect & report on race/ethnicity.

IES > NCES Education Statistics

Search

Discussion

#### Collecting Race and Ethnicity Data from Students and Staff Using the New Categories

- Institutions MUST give students and staff the opportunity to self-report their race and ethnicity. Students and staff do NOT have to respond. Institutions MUST use a 2-part question to collect these data. The first part of the question collects ethnicity, and the second part of the question collects race. The questions must be presented in this order:
  - 1. Are you Hispanic or Latino?
  - 2. Select one or more of the following races:
    - American Indian or Alaska Native
    - > Asian
    - > Black or African American
    - > Native Hawaiian or Other Pacific Islander
    - > White
- > Students and staff MUST always be shown both parts of the question, regardless of their answer to either part.
- > In the second part of the question, the wording MUST read "...one or more..." instead of alternatives such as "all that apply."
- > Institutions may NOT present the following choices (or any variations thereof) to students and staff:
  - > Unknown
  - > Refuse or decline to respond
  - > None of the above
  - > Other
  - > Nonresident alien
- Institutions MAY collect subcategories of the 6 race and ethnicity categories presented in the example 2-part question above.
- > Institutions are NOT required to resurvey continuing students and existing staff, but this is encouraged.

#### Background: IPEDS

Our Thought Process

Our Report

### According to an Oct. '21 poll of IR professionals, ~75% of respondents only collect info for IPEDS categories.

Does your institution/system collect more detailed student sex/gender & race/ethnicity information than the IPEDS reported categories? (101 responses).



## **IPEDS** has specific decision rules for defining race/ethnicity (part 1 of 2).

#### If the individual...

Is a nonresident alien according to the visa and citizenship Nonresident alien information on record at the institution Leaves both questions blank Unknown race and ethnicity Responds "No" to Hispanic question, does not respond to race question **Hispanic only** Hispanic Hispanic and any race category

We report to IPEDS as...







#### IPEDS has specific decision rules for defining race/ethnicity (part 2 of 2). If an individual self-identifies as... We report to IPEDS as...

Not Hispanic; American Indian or Alaska Native only

Not Hispanic; Asian only

Not Hispanic; Black or African American only

Not Hispanic; Native Hawaiian or Other Pacific Islander only

Not Hispanic; White only

Not Hispanic; more than one race category

Responds to the race question, but does not respond to the Hispanic question





American Indian or Alaska Native

Asian

Black or African American

Native Hawaiian or Other Pacific Islander

White

Two or more races

Report race as if individual self-identified as non-Hispanic

9

### **These decision rules create mutually** exclusive categories & mask identities.

We report to IPEDS as...

#### If the individual...





# These decision rules create mutually exclusive categories & mask identities.

#### If an individual self-identifies as...

#### We report to IPEDS as...

Not Hispanic; American Indian or Alaska Native only

Not Hispanic; Asian only

Not Hispanic; Black or African American only

Not Hispanic; Native Hawaiian or Other Pacific Islander only

Not Hispanic; White only

Not Hispanic; more than one race category

Responds to the race question, but does not respond to the Hispanic question





American Indian or Alaska Native Asian Black or African American Nat Forces all multiracial cific Isla students into a single, monolithic group Whee Two or more races

Report race as if individual self-identified as non-Hispanic

### Let's discuss!





How do you report on race/ethnicity internally?

What do you wish you could do differently, if anything?





### What were our goals?









More accurately reflect student selections Move beyond 1 category per student restriction Eliminate aggregate categories assigned by institution

Continue using historic data

Background: IPEDS

Our Thought Process

**Our Report** 

Discussion

#### Caveats

- 1 We're working within a system that was set in place years ago
- 2 Work with what you have and get creative
- <sup>3</sup> Opportunities and constraints, not right/wrong
- 4 You know your institution best





# We are moving away from mutually exclusive categories.

## If an individual self-identifies as...

Hispanic only

Hispanic and any race category

Not Hispanic; American Indian or Alaska Native only

Not Hispanic; Asian only

Not Hispanic; Black or African American only

Not Hispanic; Native Hawaiian or Other Pacific Islander only

Not Hispanic; White only

Not Hispanic; more than one race category



We report to IPEDS as...

Hispanic

American Indian or Alaska Native

Asian

Black or African American

Native Hawaiian or Other Pacific Islander

White

Two or more races

Internally, we might report as...

Separate "multiracial" binary indicator derived from individual racial/ethnic identities

15

### We are moving away from mutually exclusive categories.

#### If an individual self-identifies as...

Leaves both questions blank

Responds "No" to Hispanic question, does not respond to race question

Responds to the race question, but does not respond to the Hispanic question

Is a nonresident alien according to the visa and citizenship information on record at the institution



Internally, we might We report to IPEDS as... report as... Unknown race and ethnicity Report race as if individual self-identified as or non-Hispanic Separate "international" binary indicator Any combination of racial/ethnic + Nonresident alien identities

### **Questions?**







### **Report Goals**

- Better understand retention and graduation based on intersectionality of student identities
  - Movement away from "achievement gap" mentality
- No explicit "baseline" group
- Users define groups for comparison
- Meet needs of those who want to answer a specific question as well as those who are just exploring the data

### **Our data structure**

#### Data table 1





GIF source: Gillian on dribble.com

Data table 2

## **Other data considerations**

#### Available Sources:

- Student entered on application
- Updated by student after enrollment

#### **Decision Rules:**

- Use earliest record
- If race is recorded in any of the fields, it counts

#### **Dataset Structure:**

• Wide but duplicated (to create focus/comparison groups)

#### Stakeholder Engagement:

- Office of Diversity and Inclusion: support for expanded race/ethnicity
- Offices and individuals who used earlier iterations: demo and feedback



20

### Demo!

Note that the report is using a modified dataset that does not reflect the actual graduation and retention rates at the University of Maryland. The report is not publicly available.



Preview of Discussion Questions

- 1. What's one thing you think this does well? What's one thing that's missing?
- 2. What would work (or wouldn't work) for your place of employment?
- 3. What's 1 action you can take after this conference?





2020 cohorts

**Report Overview** 

#### Report contents:

This report looks at graduation and retention rates by student demographics, including self-reported racial/ethnic identities. Rather than using federal reporting racial/ethnic categories, which use a set of "decision rules" to categorize students, this report constructs race and ethnicity categories based on all of the races and ethnicities a student selects and allows for more intersectional identities. See the Report Description more information. We use the term "multiracial" to refer to students who self-identify with more than one race or ethnicity. For users who are interested in exploring differences in retention and graduation but do not have a clear idea of where to start, this report also offers a more general table to help identify trends of interest.

#### Tips for using this report:

1. To look at retention/graduation rates overall, start with the Student Success Overall tab. Rates for federal reporting racial/ethnic groups are only included in this tab.

2. To look at a specific population, start with the Focus Group Heatmap tab.

3. Selections for group characteristics create intersectional identities (e.g., selecting "Hispanic" and "First Generation" will yield students who are both Hispanic and first-generation).

4. Selecting mutually exclusive characteristics for the same group (e.g., "First Generation" and "Not First Generation) will result in null data.

#### Limitations:

1. The underlying data is based on federal reporting categories. These categories have a limited ability to capture students' racial/ethnic identities, so this report's race and ethnicity categories may not capture identites with complete accuracy.

2. This report uses three-year rolling averages to increase the number of students in each subgroup. There are still some cohorts with under 20 students. These metrics are more likely to be impacted by small numbers of individuals.

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|                                                   | Asian Collapsed | Black Collapsed | Hispanic<br>Collapsed | Native Collapsed | Pacific Islander<br>Collapsed | White Collapsed |
|---------------------------------------------------|-----------------|-----------------|-----------------------|------------------|-------------------------------|-----------------|
| American Indian or Alaska<br>Native:U.S.          | 0               | 0               | 0                     | 36               | 0                             | 0               |
| Asian:U.S.                                        | 7,241           | 0               | 0                     | 0                | 0                             | 0               |
| Black or African<br>American:U.S.                 | 0               | 4,562           | 0                     | 0                | 0                             | 0               |
| Foreign                                           | 911             | 77              | 98                    | 3                | 0                             | 176             |
| Hispanic:U.S.                                     | 150             | 276             | 3,336                 | 143              | 21                            | 1,863           |
| Native Hawaiian or Other<br>Pacific Islander:U.S. | 0               | 0               | 0                     | 0                | 19                            | 0               |
| Two or More:U.S.                                  | 1,210           | 560             | 0                     | 186              | 91                            | 1,523           |
| Unknown:U.S.                                      | 0               | 0               | 0                     | 0                | 0                             | 0               |
| White:U.S.                                        | 0               | 0               | 0                     | 0                | 0                             | 20,780          |
| Grand Total                                       | 9,512           | 5,475           | 3,434                 | 368              | 131                           | 24,342          |

New first-time students by federal reporting racial/ethnic groups (rows) and self-identified groups (columns), Fall 2010 - Fall

Note: ### students' racial/ethnic identities were unknown and are only included in non-race/ethnicity categories.

Office of Institutional Research, Planning & Assessment - For assistance call 301-405-5590 or email irpa@umd.edu



Note: these data do not reflect the actual student demographics at UMD





#### UG RET/GRAD DEMOGRAPHIC COMPARISON - NEW FIRST-TIME STUDENTS

| SELECT ORGANIZATION:        |                             |                |          |                    |                |                    | 1-Year         | Retenti            | on Rate        |                    |                |                    |                |                    |                |                    |                |
|-----------------------------|-----------------------------|----------------|----------|--------------------|----------------|--------------------|----------------|--------------------|----------------|--------------------|----------------|--------------------|----------------|--------------------|----------------|--------------------|----------------|
| All                         | College: All                |                |          |                    |                |                    |                |                    |                |                    |                |                    |                |                    |                |                    |                |
| SELECT COHORTS:             |                             |                |          | Fall 201           | .2-2014        | Fall 201           | L3-2015        | Fall 201           | .4-2016        | Fall 201           | 5-2017         | Fall 201           | .6-2018        | Fall 201           | 7-2019         | Fall 201           | .8-2020        |
| 2014 to 2020                | Column 1                    | Column 2       | Column 3 | Total St<br>udents | Avg<br>Rate    |
| SELECT COLUMNS:             | Black or<br>African Am      | Female<br>Male |          | 884<br>621         | 95.1%<br>93.5% | 833<br>596         | 95.1%<br>94.5% | 899<br>609         | 95.4%<br>94.0% | 908<br>589         | 95.4%<br>92.8% | 913<br>589         | 95.6%<br>93.2% | 852<br>589         | 95.9%<br>93.8% | 0000000            | 96.2%<br>93.2% |
| COL. 1 Black or African Ame | Other races/<br>ethnicities | Female<br>Male |          | 3,605<br>4,497     | 96.3%<br>94.8% | 3,584<br>4,625     | 96.4%<br>95.1% | 3,771<br>4,809     | 96.4%<br>95.0% | 4,246<br>5,181     | 95.9%<br>95.3% |                    | 96.1%<br>94.8% | 12.00              | 95.8%<br>94.8% |                    | 95.8%<br>95.0% |
| COL 2: Gender               |                             |                |          |                    |                |                    |                |                    |                |                    |                |                    |                |                    |                |                    |                |

#### SELECT RATE:

1-Year Retention Rate

COL 3:

Select 'All Federal Reporting Racial/Ethnic Groups' to view data according to federal reporting definitions. Individual group selections will display all students who self-identify with that group, even if it differs from federal reporting.

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Note: these data do not reflect the actual graduation and retention rates or student counts at UMD



#### UG RET/GRAD DEMOGRAPHIC COMPARISON - NEW FIRST-TIME STUDENTS

| SELECT ORGANIZATION:                                                   |                                                                                                                                                                                                                                                          |                | Focus Populatio                    | on: Three-Year Rolling A            | Averages                                                                                                         |                            |                            |  |  |  |  |  |  |
|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|------------------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------|--|--|--|--|--|--|
| SELECT FOCUS POP.:                                                     | Focus Population: Students who are Both First Generation and Hispanic<br>Comparison Population: Students who are Both Not First Generation and Hispanic<br>College: All<br>Student Selections: Show Multiracial Students and Show International Students |                |                                    |                                     |                                                                                                                  |                            |                            |  |  |  |  |  |  |
| Focus Selection 1<br>First Generation<br>Focus Selection 2<br>Hispanic | ulation)                                                                                                                                                                                                                                                 | +20.0%         |                                    |                                     |                                                                                                                  |                            |                            |  |  |  |  |  |  |
| SELECT COMPARISON:                                                     |                                                                                                                                                                                                                                                          | Total Students | Retained/Graduated<br>After 1 Year | Retained/Graduated<br>After 2 Years | Retained/Graduated<br>After 3 Years                                                                              | Graduated After 4<br>Years | Graduated After 5<br>Years |  |  |  |  |  |  |
| Comparison Selection 1<br>Not First Generation                         | Fall 2010-2012                                                                                                                                                                                                                                           | 267            | 93.2%                              |                                     |                                                                                                                  | 49.7%                      | 75.3                       |  |  |  |  |  |  |
| Comparison Selection 2<br>Hispanic                                     | Fall 2011-2013<br>Fall 2012-2014                                                                                                                                                                                                                         | 301<br>310     |                                    |                                     |                                                                                                                  | 49.5%<br>51.2%             | 72.4                       |  |  |  |  |  |  |
| SELECT STUDENTS:                                                       | Fall 2013-2015                                                                                                                                                                                                                                           | 315            | 95.9%                              | 90.2%                               | 85.1%                                                                                                            | 54.6%                      | 78.1'                      |  |  |  |  |  |  |
| Multiracial Students<br>Show Multiracial Students                      | Fall 2014-2016<br>Fall 2015-2017                                                                                                                                                                                                                         | 312            | 95.2%                              |                                     |                                                                                                                  | 58.7%<br>59.8%             | 80.2'                      |  |  |  |  |  |  |
| International Students                                                 | Fall 2016-2018                                                                                                                                                                                                                                           | 312            |                                    |                                     | and the second |                            |                            |  |  |  |  |  |  |
| Show International Students                                            | Fall 2017-2019                                                                                                                                                                                                                                           | 321            | 91.2%                              | 86.6%                               |                                                                                                                  |                            |                            |  |  |  |  |  |  |
| SELECT TABLE VIEW:                                                     | Fall 2018-2020                                                                                                                                                                                                                                           | 351            | 91.8%                              |                                     |                                                                                                                  |                            |                            |  |  |  |  |  |  |

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Note: these data do not reflect the actual graduation and retention rates or student counts at UMD

28

#### UG RET/GRAD DEMOGRAPHIC COMPARISON - NEW FIRST-TIME STUDENTS

|                                                   |                                      |                                                      |                |                |                 | And the second |                |                |              |                | PROZEN       |
|---------------------------------------------------|--------------------------------------|------------------------------------------------------|----------------|----------------|-----------------|------------------------------------------------------------------------------------------------------------------|----------------|----------------|--------------|----------------|--------------|
| SELECT ORGANIZATION:                              |                                      | Ave                                                  | erage 4-Y      | 'ear Graduati  | ion Rate for Fo | ocus Populati                                                                                                    | ion and Comp   | arison Popula  | ation        |                |              |
| AII                                               | Internet in the second second second | in: the 4-Year Graduati<br>opulation) in All college |                |                |                 |                                                                                                                  |                |                | (Focus Popul | ation) and All | Students     |
| SELECT FOCUS POP.:                                | 46                                   |                                                      |                |                |                 |                                                                                                                  |                |                |              |                | 1            |
| Focus 1 Black or African A                        | 100%                                 |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| Focus 2 Hispanic                                  |                                      |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| SELECT COMPARISON:                                | 80%                                  |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| Comp. 1 All                                       | 60%                                  |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| Comp. 2 All                                       | 00%                                  |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| SELECT COHORTS:                                   | 40%                                  |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| 2010 to 2020                                      |                                      |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| SELECT METRIC:                                    | 20%                                  |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
| 4-Year Graduation Rate                            |                                      |                                                      |                |                |                 |                                                                                                                  |                |                |              |                |              |
|                                                   | 0%                                   | all 2010-2012 Fall 2011                              | 2012 E-        | 1 2012 2014    | E-11 2012 2016  | E-11 201 / 2                                                                                                     | 016 5-11 2016  | 2017 5-11-20   | 16 2010 E-II | 2017 2010 E-   | 11 2010 2020 |
| SELECT STUDENTS:                                  | 11                                   | an 2010-2012 1 an 2011                               | .2013 18       | 11 2012-2014   | 1 811 2013-201. | 5 Tall 2014-2                                                                                                    | 010 18112013   | -2017 181120   | 10-2010 1 81 | 2017-2015 18   | 12010-2020   |
| Multiracial Students<br>Show Multiracial Students |                                      |                                                      |                |                | Average 4-Yea   | ar Graduatio                                                                                                     | n Rate         |                |              |                |              |
| International Students                            |                                      | -201                                                 | Fall           | Fall           | Fall            | Fall                                                                                                             | Fall           | Fall           | Fall         | Fall           | Fall         |
| Show International Students                       |                                      | 201                                                  | 10-2012        | 2011-2013      | 2012-2014       | 2013-2015                                                                                                        | 2014-2016      | 2015-2017      | 2016-2018    | 2017-2019      | 2018-2020    |
|                                                   | Subgroup<br>Comparison Gro           | oup                                                  | 49.5%<br>68.7% | 54.0%<br>68.9% | 46.0%<br>69.9%  | 46.8%<br>70.6%                                                                                                   | 47.8%<br>72.1% | 55.8%<br>73.8% |              |                |              |
| Office of Institutional Research, Plann           |                                      |                                                      | )5-5590 or     | email irpa@um  | id.edu          |                                                                                                                  |                |                |              | MAP            |              |
|                                                   |                                      |                                                      |                |                |                 |                                                                                                                  |                |                |              | IVII II        |              |



Note: these data do not reflect the actual graduation and retention rates or student counts at UMD

29

### **Questions?**







### Discussion

- 1 What's one thing you think this does well? What's one thing that's missing?
- 2 What would work (or wouldn't work) for your place of employment?
- **3** What's 1 action you can take after this conference?

Our Report

Discussion

# Thank you!

Abigail Do: <u>abbydo@umd.edu</u>

Danielle Glazer: dglazer@umd.edu

reports.umd.edu



